



**SBIR/STTR SUPPLEMENTAL FUNDING**

**INFORMATION WEBINAR**

**FEBRUARY 12, 2025**

- Introductions
- Remarks from CEO Cynthia Crutchfield
- Eligibility
- Applicable award amounts
- Guidelines
- Common Mistakes
- Timeline for awards & disbursements
- Application Review
- Q&A

- Awarded Federal SBIR/STTR grant/contract
- Award Active as of, or after, July 1, 2023
  - Will change to July 1, 2024, for the next round of applications (Summer 2025)
- By Statute:
  - Alabama is primary place of business within 12 months of receiving award
  - Majority of workforce must live in Alabama
  - Majority of top executives must live in Alabama

# FUNDING AVAILABLE

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- Phase I awardee
  - Up to, lesser amount of:
    - 50% of federal award or
    - \$100,000
- Phase II awardee
  - Up to, lesser amount of:
    - 50% of federal award or
    - \$250,000
- \$350,000 cap in any 3-year period (clock starts at first award)

# DISCRETIONARY LEGISLATIVE PREFERENCES

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- Conducting Research or Proposing Use of Grant Funding With:
  - Hudson Alpha
  - Southern Research Institute
  - Public or Private Alabama Universities and Colleges
  - Historically Black Colleges and Universities (HBCUs)
  - Under-represented Companies (See Ala. Code, Section 40-18-376.4 (C))

# UNDER-REPRESENTED COMPANY

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- Ala. Code § 40-18-376.4:
- (1) ...a for-profit business headquartered in a community eligible for ... the federal New Markets Tax Credit program..., has fewer than 10 employees..., and has average gross revenues of less than five hundred thousand dollars (\$500,000) in the company's three years prior....
- OR
- (2) ... a for-profit business that is independently owned and controlled and is at least 51 percent owned and controlled by one or more underrepresented persons or, in the case of a publicly-owned business, the company is a for-profit business of which at least 51 percent of the stock is owned and controlled by one or more underrepresented persons and whose daily management and operations are under the control of one or more underrepresented persons. As used herein, an underrepresented person is a United States citizen who is a woman or is African American.

- Mission: advance technology
- Prior peer review from the federal government
- Use of underlying information
- Confidentiality
- Reporting
  - Semi-annual & Final
  - No longer than 24 month grant timeline
- \$2,000 (Phase I) or \$5,000 (Phase II) held back for final report

# WHAT WE FUND: EXAMPLES

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- Additional milestones
- Product development
- Market research
- New hires & consulting
- Equipment
- Budget Caps
  - Legal fees: no more than 10% of award
  - Indirect/F&A cost: no more than 15% of award
  - Travel: no more than 10% and no international travel
  - Fringe cost: no more than 30% of award



# WHAT WE DO NOT FUND

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- Paying off debt
- Recoup of personal investment
- Development unrelated to federal award
- Costs not linked to new milestones

# PAST ISSUES WITH APPLICATIONS

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- No proof of award
- No proof of ownership/license of technology
- Exceeding caps on budget line items
- Excessive allocation to salaries of leadership team

- February 28: applications closed
- March: review & decisions
- late March/early April: notice of award
- April: payments made through bill.com
- June: application opens, round 2
- July: review & decisions
- late July/August: notice of awards and payments

# REVIEW OF APPLICATION PROCESS

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- Website:
  - <https://innovatealabama.org/programs/supplemental-grant-program/>
- Guidelines
- Application
- Confirmation upon submission, no automated email
- Questions about process?
  - Contact email: [grants@innovatealabama.org](mailto:grants@innovatealabama.org)

# Q&A

# What we're doing

1. **CONNECTING** Alabama's Ecosystem
2. **CELEBRATING** Success Stories
3. **LISTENING** To Our Stakeholders

# How you can help

1. **FOLLOW** Us On Social Media
2. **SUBSCRIBE** To Our Newsletter
3. **EMAIL** Us At [info@innovatealabama.org](mailto:info@innovatealabama.org)





**THANK YOU.**